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NOTICE OF ALLOWANCE AND FEE(S) DUE

26418

7590

06/26/2009

REED SMITH, LLP ATTN: PATENT RECORDS DEPARTMENT 599 LEXINGTON AVENUE, 29TH FLOOR NEW YORK, NY 10022-7650 EXAMINER

VALENTIN, JUAN D

ART UNIT PAPER NUMBER

2877

DATE MAILED: 06/26/2009

| | APPLICATION NO. | LICATION NO. FILING DATE FIRST NAMED INVENTOR | | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-----------------|---|--------------------|-----------------------|------------------|
| Ī | 10/539.491 | 06/17/2005 | Ralf Wolleschensky | ZEI-3278/500343.20298 | 2400 |

TITLE OF INVENTION: METHOD AND ARRANGEMENT FOR OPTICAL EXAMINATION OR PROCESSING OF A SAMPLE

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO | \$1510 | \$300 | \$0 | \$1810 | 09/28/2009 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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or <u>Fax</u> (571)-273-2885

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| ppropriate. All further adicated unless correcte anintenance fee notifical | correspondence including below or directed oth tions. | g the Patent, advance or terwise in Block 1, by (a | rders and notification of specifying a new co | of m orresp | aintenance fees woondence address; | ill be and/or | mailed to the current (b) indicating a sepa | corresponde rate "FEE A | ence address as ADDRESS" for |
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| CURRENT CORRESPONDI | I | Note: A certificate of mailing can only be used for domestic mailings of Fee(s) Transmittal. This certificate cannot be used for any other accompany papers. Each additional paper, such as an assignment or formal drawing, m have its own certificate of mailing or transmission. | | | | | r accompanying | | |
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| NEW YORK, N | Y 10022-7650 | | | | | | | | (Depositor's name) |
| | | | | | | | | | (Signature) |
| | | | Į | | | | | | (Date) |
| APPLICATION NO. | FILING DATE | | FIRST NAMED INVENT | ГOR | | ATTO: | RNEY DOCKET NO. | CONFIRM | MATION NO. |
| 10/539,491 | 06/17/2005 | • | Ralf Wolleschensky | y | | ZEI-3 | 278/500343.20298 | 2 | 400 |
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| EXAM | | ART UNIT | | S | | | | | |
| VALENTIN | N, JUAN D ence address or indication | 2877 | 356-432000 2. For printing on the | L | tt Ct 1:- | | | | |
| FR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. | | | or agents OR, altern (2) the name of a si registered attorney 2 registered patent |) the names of up to 3 registered patent attorneys agents OR, alternatively,) the name of a single firm (having as a member a gistered attorney or agent) and the names of up to registered patent attorneys or agents. If no name is sted, no name will be printed. | | | | | |
| PLEASE NOTE: Unl | less an assignee is identi h in 37 CFR 3.11. Comp | A TO BE PRINTED ON The field below, no assignee eletion of this form is NOT | data will appear on th | e pat | ent. If an assignessignment. | | | cument has | s been filed for |
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| | are submitted: No small entity discount p # of Copies | permitted) | o. Payment of Fee(s): (I | ed. card | . Form PTO-2038 authorized to char | is atta | .ched. required fee(s), any de | iciency, or | |
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| Authorized Signature | | | | | Date | | | | |
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| his collection of inform n application. Confident abmitting the completed is form and/or suggesti ox 1450, Alexandria, V lexandria, Virginia 223 | tiality is governed by 35 d application form to the ions for reducing this bur riginia 22313-1450. DO | FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary den, should be sent to the NOT SEND FEES OR (| on is required to obtain 1.14. This collection is depending upon the ir e Chief Information Of COMPLETED FORMS | or re s estindivi- fficer S TO | tain a benefit by the mated to take 12 r dual case. Any co , U.S. Patent and THIS ADDRESS | he publ minutes mment Traden 5. SENI | ic which is to file (and to complete, includin s on the amount of tin ark Office, U.S. Depa D TO: Commissioner f | by the USI gathering, se you requirement of Cor Patents, | PTO to process), preparing, and ire to complete Commerce, P.O. P.O. Box 1450, |

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| 26418 75 | 90 06/26/2009 | | EXAM | INER |
| REED SMITH, I | LP | VALENTIN, JUAN D | | |
| | RECORDS DEPARTM | ART UNIT PAPER NUMBER | | |
| 599 LEXINGTON NEW YORK, NY | AVENUE, 29TH FLC 10022-7650 | 2877 DATE MAILED: 06/26/2009 | | |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 453 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 453 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

| | Application No. | Applicant(s) | | | | |
|---|---|--|----------------------------|--|--|--|
| | 10/539,491 | WOLLESCHENSKY ET AL. | | | | |
| Notice of Allowability | Examiner | Art Unit | | | | |
| | JUAN D. VALENTIN | 2877 | | | | |
| The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RID of the Office or upon petition by the applicant. See 37 CFR 1.313 1. This communication is responsive to 04/29/2009. The allowed claim(s) is/are 23,25-27 and 30-61. Acknowledgment is made of a claim for foreign priority under the communication is responsive to 0 None of the: | (OR REMAINS) CLOSED in or other appropriate commule (GHTS). This application is sand MPEP 1308. | this application. If not includ inication will be mailed in due ubject to withdrawal from issu | led course. THIS | | | |
| 1. ☑ Certified copies of the priority documents have | been received. | | | | | |
| 2. ☐ Certified copies of the priority documents have | | n No | | | | |
| 3. ☐ Copies of the certified copies of the priority do | | <u> </u> | ation from the | | | |
| International Bureau (PCT Rule 17.2(a)). | | 3 11 | | | | |
| * Certified copies not received: | | | | | | |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give | IENT of this application. itted. Note the attached EXA | AMINER'S AMENDMENT or N | | | | |
| 5. CORRECTED DRAWINGS (as "replacement sheets") mus | et he submitted | | | | | |
| (a) ☐ including changes required by the Notice of Draftspers | | v (PTO-948) attached | | | | |
| 1) ☐ hereto or 2) ☐ to Paper No./Mail Date | • | . (, | | | | |
| (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of | | | | | | |
| each sheet. Replacement sheet(s) should be labeled as such in t | | | e back) of | | | |
| DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT | | | Note the | | | |
| Attachment(s) 1. ☐ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material | 6. ☐ Interview St Paper No./ 7. ☐ Examiner's — | formal Patent Application ummary (PTO-413), Mail Date Amendment/Comment Statement of Reasons for Alk | owance | | | |
| | | | | | | |

DETAILED ACTION

Allowable Subject Matter

1. Claims 23, 25-27, 30-61 are allowed over prior art of record.

The following is a statement of reasons for the indication of allowable subject matter:

Regarding claim 23, the prior art fails to disclose or make obvious "wherein the spatially separated spectral components are changed into a parallel beam bundle" and in combination with the other recited limitations of claim 23. Claims 25 is allowed by virtue of dependency on the allowed claim 23.

Regarding claim 26, the prior art fails to disclose or make obvious "wherein means are provided for changing the spatially separated spectral components into a parallel beam bundle" and in combination with the other recited limitations of claim 26. Claims 30, 32, 34, 36, 38, 40, 42-44, 46, 48, 50, 52, 54, 56, 58, and 60 are allowed by virtue of dependency on the allowed claim 26.

Regarding claim 27, the prior art fails to disclose or make obvious "wherein means are provided for changing the spatially separated spectral components into a parallel beam bundle" and in combination with the other recited limitations of claim 27. Claims 31, 33, 35, 37, 39, 41, 45, 47, 49, 51, 53, 55, 57, 59, and 61 are allowed by virtue of dependency on the allowed claim 27.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for

Art Unit: 2877

Allowance."

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JUAN D. VALENTIN whose telephone number is (571)272-

2433. The examiner can normally be reached on Mon.-Fri..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Gregory J. Toatley, Jr. can be reached on (571) 272-2800 ext. 77. The fax phone

number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would

like assistance from a USPTO Customer Service Representative or access to the automated

information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Michael A. Lyons/

Primary Examiner, Art Unit 2877

June 19, 2009

Juan D Valentin II

Examiner

Art Unit 2877

/JDVII/ June 18, 2009